

National Taiwan University of Science and Technology

Regulations for Research and Development Outcome

Prototyping Grants

Passed by the 514th Administrative Board Meeting on 13 September 2013
Amended by the 623th Administrative Board Meeting on 26 September 2023

Article 1 : Purpose

National Taiwan University of Science and Technology (hereinafter "NTUST") hereby establishes these Regulations to enhance the value of research and development outcomes. These Regulations provide financial grants for research outcomes with commercialization potential produced by faculty, staff, and students. The purpose of these grants is to facilitate the concrete realization of such research and development outcomes into prototype samples (hereinafter "the Sample"), thereby increasing opportunities for commercialization.

Article 2 : Responsible Unit

The management, maintenance, implementation, and other related matters pertaining to these Regulations shall be coordinated and administered by NTUST's Office of Industry-Academia Cooperation (hereinafter the "IAC Office").

Article 3 : Applicant Eligibility and Application Procedure

1. Faculty, staff, and students of NTUST (hereinafter "the Applicant") may apply for grants individually or jointly for research and development outcomes created either solely or collaboratively.
2. The research and development outcomes for which grants are sought shall either be intellectual property owned by NTUST, or the Applicant shall agree to co-own said intellectual property with NTUST upon receiving the grant.

Article 4 : Grants and Fund Utilization Principles

1. Each research and development outcome shall, in principle, be eligible for a grant only once.
2. The grant amount shall not exceed New Taiwan Dollars (NTD) 150,000 per case as a general rule.
3. In principle, the grant funds shall be limited to operational expenses (including consumables, supplies, miscellaneous items, molds, parts, and domestic travel expenses), excluding personnel costs, equipment expenses, and international travel expenses.

Article 5 : Application Review Process and Key Considerations

1. During the application period announced by the IAC Office, the Applicant shall submit an application form and a budget proposal to the IAC Office.
2. Upon verification of the Applicant's identity and confirmation of intellectual property ownership by the IAC Office, it shall convene a review meeting consisting of internal and external experts in relevant industries, technologies, patents, investments, or legal matters to evaluate the application and determine whether to approve the grant and, if approved, the amount to be awarded.
3. Key considerations for review shall include the feasibility of mass production of the research and development outcomes, commercialization potential, reasonableness of the requested funds, and the benefits to NTUST from funding the outcome.
4. The Applicant may be invited to present at the review meeting.

Article 6 : Fund Reimbursement

The Applicant shall submit receipts for reimbursement in accordance with NTUST's relevant accounting and procurement regulations and procedures.

Article 7 : Sample Management and Utilization

1. The Sample funded under these Regulations shall be centrally stored, managed, displayed, and utilized for promotion by the IAC Office. The Applicant may borrow the Sample for their own promotional and utilization needs.
2. The distribution of benefits from research and development outcomes prototyped with funding under these Regulations shall be governed by NTUST's Regulations Governing Research and Development Results.

Article 8 : Obligations of the Applicant

1. The Applicant shall complete the production of the Sample and fund reimbursement within the granted period in accordance with relevant NTUST regulations.
2. The Applicant shall properly safeguard and effectively utilize the borrowed Sample, and actively promote the research and development outcome to enhance industry-academia cooperation and technology transfer performance.
3. The Applicant shall collaborate with NTUST in participating in exhibitions, competitions, presentations, evaluation visits, and other activities that contribute to NTUST's promotion and utilization of research and development outcomes.
4. The Applicant's implementation results in accordance with the preceding three paragraphs shall be considered as one of the evaluation criteria for the Applicant's

future applications for grants under these Regulations and other related regulations of the Office of Research and Development.

Article 9

These Regulations, and any amendments hereto, will be implemented following passage by the Administrative Board Meeting.